



Attorney's Docket No.: 12732-012001 / US4638

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shunpei YAMAZAKI et al.

Art Unit : 2826

Serial No.: 09/773,543

Examiner: Victor A. Mandala, Jr.

Filed

: February 2, 2001

Title

: SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE

SAME

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: July 24, 2003

William G. Hughes

Reg. No. 46,112

Fish & Richardson P.C. 1425 K Street, N.W.

11th Floor

Washington, DC 20005-3500 Telephone: (202) 783-5070 Facsimile: (202) 783-2331

WXH/adt 40162335.doc

07/25/2003 AUONDAF1 00000087 09773543

01 FC:1806

180.00 OP

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-012001	Application No. 09/773,543	
Information Disclosur Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Shunpei YAMAZAKI et al.		
		Filing Date February 2, 2001	Group Art Unit 2826	

			U.S. Pate	nt Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
TPE	AA	2002/0044230	04/18/02	YAMAZAKI et al.			
98	AB	5,576,858	11/19/96	UKAI et al.			
JUL 2 4 2003	AC	5,643,826	07/01/97	OHTANI et al.			
ۋ ا	AD	5,650,636	07/22/97	TAKEMURA et al.			
CHADEMAR	AE	5,712,495	01/27/98	SUZAWA			
	AF	5,777,701	07/07/98	ZHANG			
	AG	5,815,226	09/29/98	YAMAZAKI et al.			
	АН	5,856,689	01/05/99	SUZAWA			
	Al	5,892,562	04/06/99	YAMAZAKI			
	AJ	5,923,962	07/13/99	OHTANI et al.			
	AK	5,977,562	11/02/99	HIRAKATA et al.			
	AL	5,998,841	12/07/99	SUZAWA			
	AM	6,104,461	08/15/00	ZHANG et al.			
	AN	6,118,506	09/12/00	YAMAZAKI et al.			
	AO	6,175,395	01/16/01	YAMAZAKI et al.			
	AP	6,208,395	03/27/01	KANOH et al.			
	AQ	6,274,887	08/14/01	YAMAZAKI et al.			
	AR	6,278,131	08/21/01	YAMAZAKI et al.			
	AS	6,281,552	08/28/01	KAWASAKI et al.			
	ΑT	6,323,515	11/27/01	YAMAZAKI et al.			
	AU	6,384,818	05/07/02	YAMAZAKI et al.			
	AV	6,392,255	05/21/02	SHIBATA et al.			
	AW	6,461,899	10/08/02	KITAKADO et al.			
	AX	6,489,222	12/03/02	YOSHIMOTO			
	AY	6,524,877	02/25/03	NAKAZAWA et al.			
	AZ			_			

e Considered					
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 12732-012001

Application No. 09/773,543

Information Disclosure Statement

by Applicant
(Use several sheets if necessary)

Applicant Shunpei YAMAZAKI et al.

Group Art Unit

(37 CFR §1.98(b))

Filing Date Group A February 2, 2001 2826

	(5) (6)
B JUL 247	
EL.	3
E TRADEM	BK
-	1
2	

0	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Translat	$\overline{}$
Initial	ID_	Number	Date	Patent Office	Class	Subclass	Yes	No
	ВА	EP 1028469	08/16/00	EUROPE				
	ВВ	EP 1058310	06/12/00	EUROPE				
	ВС	JP 07-130652	05/19/95	JAPAN			Abstract	
	BD	JP 08-330602	12/13/96	JAPAN			Abstract	
	BE	JP 10-048640	2/20/98	JAPAN			Abstract	
	BF	JP 10-270363	10/09/98	JAPAN			Abstract	
	BG	JP 11-097698	04/09/99	JAPAN			Abstract	

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document				
	вн					
	BI					
	BJ					
	ВК					

Examiner Signature	Date Considered				
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					